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Applicant(s)	GROUP
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Joseph G. GATTO

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#### June 29, 2001 Form PTO-1449 **U.S. PATENT DOCUMENTS** \*EXAMINER REF DOCUMENT NO. DATE NAME CLASS SUBCLASS FILING DATE INITIAL IF APPROPRIATE **FOREIGN PATENT DOCUMENTS** Translations REF DOCUMENT NO. COUNTRY CLASS DATE SUBCLASS YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) 6A Charles M. C. LEE et al., "Price Momentum and Trading Volume", The Journal of Finance, Vol. LV, No. 5, October 2000, pages 2017-2069. Charles M. C. LEE et al., "Valuing the Dow: A Bottom-Up Approach", Financial Analysts Journal, Vol. 55, No. 5, September/October 1999, pages 4-23. Charles M. C. LEE, "Earnings News and Small Traders", Journal of Accounting and Economics, Vol. 15, No. 2/3, June/September 1992, pages 265-302. Terry D. WARFIELD et al., "Response to the FASB Exposure Draft, "Proposed Statement of Financial Accounting Standards—Accounting for Transfers and Servicing of Financial Assets and Extinguishment of Liabilities"", Accounting Horizons, Vol. 10, No. 3, September 1996, pages 178-Terry D. WARFIELD et al., "Response to the FASB Exposure Draft, "Proposed Statement of Financial Accounting Standards—Accounting for Certain Liabilities Related to Closure or Removal of Long-Lived Assets"", Accounting Horizons, Vol. 10, No. 4, December 1996, pages 137-141. Terry D. WARFIELD et al., "Response to the FASB Exposure Draft, "Proposed Statement of Financial Accounting Standards—Consolidated Financial Statements: Policy and Procedures", Accounting Horizons, Vol. 10, No. 3, September 1996, pages 182-185. 6G Lawrence D. BROWN et al., "Profiting from Predicting Earnings Surprise", The Journal of Financial Statement Analysis, Winter 1998, pages 57-66. Martin M. HERZBERG et al., "Enhancing Earnings Predictability Using Individual Analyst 6H Forecasts", The Journal of Investing, Summer 1999, pages 15-24. Susan D. KRISCHE et al., "The Information Content of Analyst Stock Recommendations". First Draft: August 8, 2000, Current Draft: September 25, 2000, 40 pages. 6J www.findarticles.com/m4PRN/1999 Oct 14/56284107/pl/article.jhtml, printed February 5, 2001, 2 pages. http://my.zacks.com/?ALERT=www.zacks.com, printed February 2, 2001, 6 pages. 6K http://www.cianet.com/, printed February 5, 2001, 14 pages. 6L 6M http://www.factset.com/, printed February 5, 2001, 10 pages. 6N http://www.iexchange.com/, printed February 5, 2001, 50 pages. http://www.validea.com/home/home.asp, printed February 5, 2001, 26 pages.

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